

Appendix 2: high priority recommendations

1. Synopsis

- 1.1. This appendix summarises high priority recommendations arising from audit reviews with a limited or no assurance rating since our last update to Committee in September 2023. It provides an overview of findings in areas where control weaknesses have been identified that present a high risk to specific service objectives.
- 1.2. Follow up reviews will be conducted to assess the level of implementation of audit recommendations.

2. Summaries of high priority recommendations

2.1. Council audits

Reference	Audit Title
HN22-3	Tenant Management Organisation (TMO) monitoring arrangements
Recommendations were made in relation to: <ul style="list-style-type: none">1. The completeness of monitoring activity;2. Procedural documentation; and3. The extent of performance reporting.	

Reference	Audit Title
HN22-4	Medical assessments for housing allocations
Recommendations were made in relation to:	

Reference	Audit Title
HN22-4	Medical assessments for housing allocations
	<ol style="list-style-type: none"> 1. The contractual arrangements between the council and its third party assessment provider; 2. The timeliness of notification of assessment outcomes to applicants; 3. The timeliness of notification of internal review/appeal outcomes to applicants; and 4. The effectiveness of service performance monitoring and reporting.

Reference	Audit Title
R22-2	Key IT application review – NEC (housing repairs)
	<p>Recommendations were made in relation to:</p> <ol style="list-style-type: none"> 1. Application governance arrangements; 2. The segregation of duties matrix; and 3. Incomplete audit actions relating to the Access controls and rights audit.

Reference	Audit Title
ASC23-2	Non-recent child abuse support payment scheme
	<p>Recommendations were made in relation to:</p> <ol style="list-style-type: none"> 1. The timeliness of independent reviews by solicitors; 2. The timeliness of independent appeal panel referrals and decision making; 3. Stakeholder relationship management; and

Reference	Audit Title
ASC23-2	Non-recent child abuse support payment scheme
4. Pre-payment checks.	

2.2. Establishment audits

Reference	Audit Title
FT22-1	Voluntary Sector Organisation (VSO) establishment review – Culpeper Community Garden
<p>Recommendations were made in relation to:</p> <ol style="list-style-type: none"> 1. The level and frequency of DBS checks; and 2. Venue hire costs. 	

APPENDIX ENDS